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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.: 09/726,793
Applicant(s): Lerner, et al.
Filed: 12/01/2000
Art Unit: 2164
Examiner: Jeffrey C. Pwu
Title: ELECTRONIC PURCHASE AND
SALE OF SECURITIES SYSTEM AND METHOD

Confirmation No.: 5692

Docket No.: 049056/280484
Customer No.: 00826

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT
37 C.F.R. § 1.121

Sir:

In response to the Office Action dated February 23, 2004, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims beginning on page 2 of this paper.

Remarks/Arguments begin on page 5 of this paper.